*DV $GYLVRU\ %RDUG
5HYLHZ RI &RPSRVLWLRQ

&DOO IRU 1RPLQDWLRQV

0D\

%DFNJURXQG

7KH 5XOH &KDQJH 3DQHO ZDV HVWDEOLVKHG LQ 1RYHPEHU WR DGPLQLVWHU WKH *DV 6HUYLFHV ,QIRUPDWLRQ *6, 5XOHV 5&3 6HFUHWDULDW ZKR SURYLGHV DVVLVWDQFH WR WKH 5XOH SURYLGLQJ VHFUHWDULDW VHUYLFHV 3ULRU WR WKH *DV $GYLVRU\ % ,02 ZDV UHVSRQVLEOH IRU WKHVH IXQFWLRQV

8QGHU VXEUXOH RI WKH *6, 5XOHV WKH 05XOH

- WKH SHUVRQ EHFRPHV RI XQVRXQG PLQG RU ZD\ XQGHU D ODZ UHODWLQJ WR PHQWDO KHDOWK
- DQ HYHQW VSHFLILHG IRU WKLV SXUSRVH LQ WKH *$% &RQV
- LQ WKH 5XOH &KDQJH 3DQHO¶V RSLQLRQ WKH SHUVRQ RU FODVV RI SHUVRQV WKDW WKH UXOH RI WKH *6, 5XOHV

7KH *$% &RQVWLWXWLRQ DQG WKH *$% $SSOLFDWLRQ *XLGHOL &KDQJH 3DQHO¶V ZHEVLWH

8QGHU UXOH RI WKH *6, 5XOHV WKH *$% FRPSULVHV

1R RI 0HPEHU\	5HSUHVHQWDWLRQ
	&KDLU DSSRLQWHG E\ WKH 5XOH &KDQJH 3DQHO

1R RI 0HPEHU	5HSUHVHQWDWLRQ
	3LSHOLQH 2ZQHU 2SHUDWRUV
	*DV 3URGXFHUV
	*DV 6KLSSHU
	*DV 8VHUV

&RPSXOVRU\ &ODVV PHPEHUV DUH QRWXUHT&KDQJH3DQHO DSSRH
KRZHYHU UHTXLUH UH FRQILUPDWLRQ HDPQEHGH DV LXQS GRDUWHGG &9RIH
WKDW WKHUH LV D EDODQFHG UHSUHGVH[SWHDUWLHRQ HRD FVNLOOV WKN

1RPLQDWLRQV

$OO QRPLQDWLRQV PXVW EH GHOLYHUHGSWRQWKXH5VXOGH\ &KDQJH
-XQH

1RPLQDWLRQV VKRXOG LQFOXGH D FRPSSUOHWWHGLPOHDPEHUV KQLSLQ
:RUG RQ WKH 5XOH &KDQJH 3DQHO¶V ZHEVLWH

7KH 5XOH &KDQJH 3DQHO SUHIHUV WR XWFWKYH PQRDPLQDWLRQV EHQWV
E\ SRVW

(PDLO WR UFS VHFUHWDULDW#UFSZD FRP DX

3RVW WR 5XOH &KDQJH 3DQHO
$WWQ ([HFXWLYH 2IILFHU
& R (FRQRPLF 5HJXODWLRQ $XWKRULW\
32 %R[
3(57+ %& :$

7R DOORZ QRPLQDWLRQV WR EH SURSDWKOH\ LQVIRUPHG LRQ OHHDTVXH
WKH QRPLQDWLRQ IRUP LV LQFOXGHG

3OHDVH QRWH WKDW LI \RX ZLVK WR QRPLQDWH VDRSHUUHVRQHVRUQ
D VHSDUDWH DSSOLFDWLRQ PXVW EH WXEPLWWHG WKUH HFDUHFKW FO
VSHFLILF UHIHUHQFH WR WKH FODVVIRU UHSUHVHQWDWLRQ EH

$SSRLQWPHQW 3URFHVV 7LPHOLQH