

5XOH & KDQJH 1RWLFH 0DUNHW 3DUWLFLSDQW)HH & DOFXODW 5&B B

7KLV QRWLFH LV JLYHQ XQGHU FODXVFLW\ 0DUNHW 5XOHV
5XOHV

6XEPLWWHU 5XOH & KDQJH 3DQHO
'DWH VXEPLWWH QXDU\

7KH 5XOH & KDQJH 3URSRVDO

7KLV 5XOH & KDQJH 3URSRVDO VHHNV WR FRUUHFWD PDQLIHVW
5XOHV DERXW WKH 0DUNHW 3DUWLFLSDQW)HH FDOFXODWLRQ

7KH DWWDFKHG 5XOH & KDQJH 3URSRVDQWYHV FRPSOHWH LQ

x WKH SURSRVHG DPHQGPHQWV WR WKH 0DUNHW 5XOHV

x UHOHYDQW UHIHUHQFH WR WKH 0DUNHW 3URSRV
FODXVH DQG

x KRZ WKH SURSRVHG DPHQGPHQWV ZRXOG HDUDBU WKH
:KROHVDOH 0DUNHW 2EMHFWLYHV

\$V FODXVH LV D 3URWHFWHG 3URJEXDQV LQK&SURSRVHG
UHTXLUH 0LQLVWHULDO DSSURYDO XQGHU FODXVH RI WKH

'HFLVLRQ WR SURJUHVV WKH 5XOH & KDQJH 3URSRVDO

7KH 5XOH & KDQJH 3DQHO KDV GHFLGHG WR OSBUH BV 5XOHV & K
EDVLV WKDW LW FRUUHFWD PDQLIHVW WKH 3URSRVDO
DQ RSSRUWXQLW\ WR FRQVLGHU WKH 5XOH & KDQJH 3URSRVDO
UXOH FKDQJH SURFHVV

7LPHOLQH

7KLV 5XOH & KDQJH 3URSRVDO ZLOO EHF 5XOH & KDQJH SURFW
GHVFULEHG LQ VHFWRQ RI WKH 0DUNHW 3URSRVDO WKH
FRUUHFWD PDQLIHVW HUURU WKH 3URSRVDO WKH EURW
0DUNHW 5XOHV

7KH SURMHFWHG WLPVOLQH IRU SURJUHVVLRQJ WKLV SURSRVDO

:H DUH KHUH

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&RPPHQFH
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1RWLFH	6WDNHKROG	(QG RI)LQDO 5X	0LQLVWHULDO
SXEOLVKHG	ZLVKLQJ WR	FRQVXOWD	&KDQJH 5H	\$SSURYDO
	FRQVXOWHG	SHULRG	SXEOLVKH	
	LQIRUP 3DQ			

&DOO IRU VXEPLVVLRQV

\$Q\ 5XOH 3DUWLFLSDQW ZLVKLQJ WR HE & KDQJH 3DQHO XOWK & KDQJH 5H \$SSURYDO
WR QRWLI\ WKH 5XOH &KDQJH 3DQHO XOWK & KDQJH 5H \$SSURYDO
SXEOLFDWLRQ 3DQHO ERQGD\)HEUXDU\

7KH FRQVXOWDWLRQ SHULRG LV %XVH QRWLFH \$XHQEFWVH RQ
6XEPLVVLRQV PXVW EH GHOLYHUHG WR RORQH 5RQGH FUH MEXDQV

7KH 5XOH &KDQJH 3DQHO SUHIHUV WR HQWHWRH VXEPLVVLRQV
6XSSRUW#UFSZDXFRQJDXKH VXEPLVVLRQ IRUP DYDLODEOH DW
KWWSV ZZZ HUDZD FRP DX UXOH FKDQJH 5H \$SSURYDO PDNH D UXOH

6XEPLVVLRQV PD\ DOVR EH VHQW WR WKH 5XOH & KDQJH 3DQHO

5XOH &KDQJH 3DQHO
\$WWQ ([HFXWLYH 2IILFHU
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5 0 R t q x k f g c p { r t q r q u g f u r g e k h k e e j w n g (for clarity, q r c t v k e w
please use the current wording of the rules and place a ~~strikethrough~~ where words
are deleted and underline words added)

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